

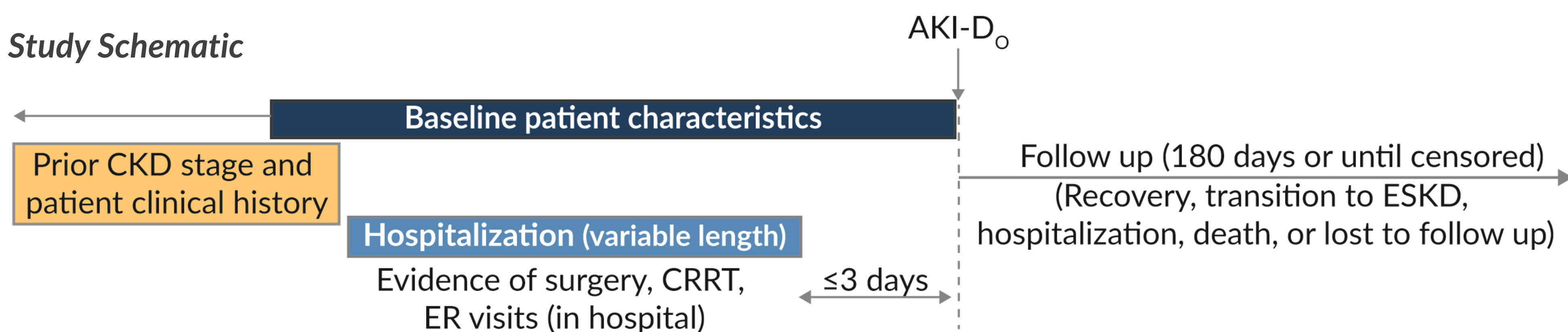
## Introduction and Objective

- Following the 2017 Medicare Reimbursement changes, individuals with AKI that dialyze in the outpatient setting (AKI-D) are increasing in number.
- Models to predict renal recovery or transition to end-stage kidney disease (ESKD) in individuals with acute kidney injury (AKI) are in use worldwide. However, previous studies reporting factors impacting recovery were limited to the inpatient setting.
- There is likely little utility of these models for the existing AKI-D population for two reasons:
  - In-hospital AKI patient characteristics are likely different than the smaller subset of patients that go on to become AKI-D, and
  - the data available in hospital EHR systems are vastly different than US outpatient dialysis EHR systems.
- We sought to investigate which early indicators in individuals with AKI-D, those preceding or captured during hospitalization, predict the likelihood of an individual transitioning to ESKD or recovering.

## Methods

- The following criteria were used to identify individuals who arrive at outpatient facilities for AKI-D:
  - Inclusion Criteria:** Adult individuals with claims for in-hospital dialysis and an AKI-D diagnosis between 2017-2023 in Optum's® de-identified Integrated Claims-Clinical data set that links administrative claims and clinical data from providers across the continuum of care.<sup>1</sup> Amongst these patients, a course of outpatient dialysis within <3 days after discharge was identified.
  - Exclusion Criteria:** Any previous dialysis treatment or ESKD diagnosis prior to the hospitalization event. ESKD diagnoses were not considered during the admit.
- Analytic Approach:
  - Identify individuals meeting In/Ex criteria in the Integrated data set.<sup>1</sup>
  - Dichotomize individuals into yes/no category based on kidney recovery patterns.
  - Describe these individuals based on observed clinical characteristics identified within the hospital stay and outpatient dialysis claims history.
  - Screen single variable associations for differences that might distinguish individuals as more/less likely to recover.
  - Find optimal segregation patterns for recovery vs. non-recovery for analyzed variables.

Fig. 1: Study Schematic



CKD, chronic kidney disease; CRRT, continuous renal replacement therapy; ER, emergency room

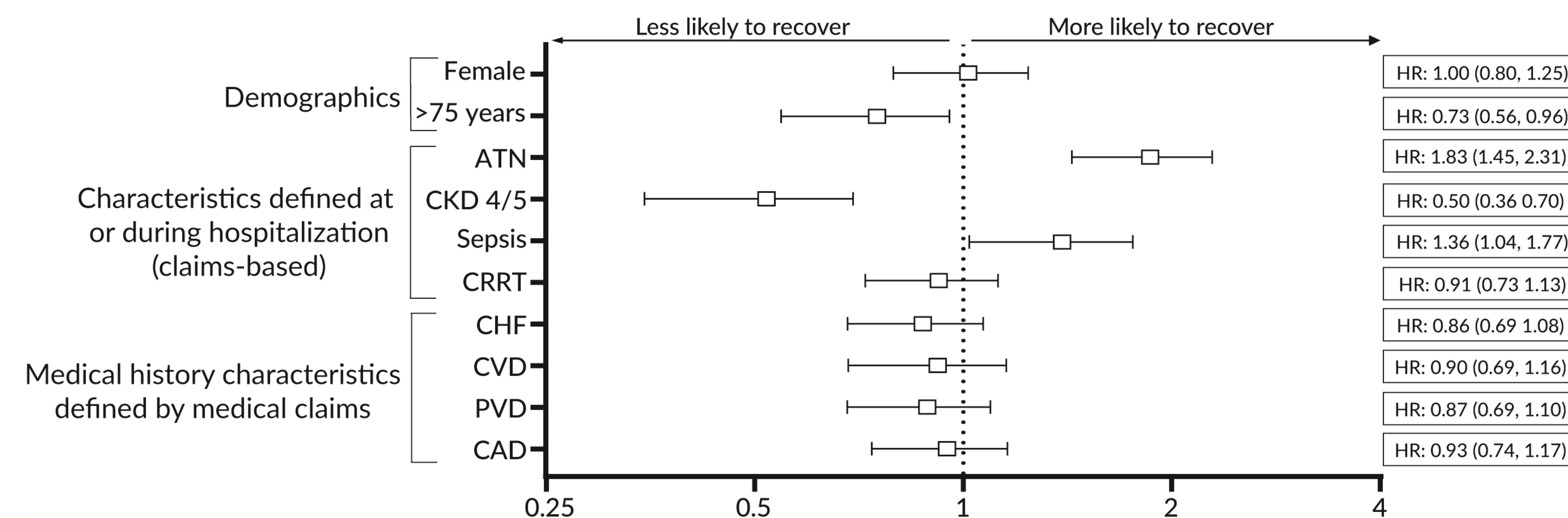
## Results

Table 1: Patient Demographics, Characteristics defined at/during Hospitalization, and Claims-based Medical History

	Death, ESKD, or Censoring n=434	Recovery n=326	P value
Age, years, mean (SD)	68.4 (12.6)	63.7 (14.3)	<0.001
Female, n (%)	193 (44.7)	132 (40.5)	0.281
Race, n (%)			0.52
Black	61 (14.1)	41 (12.6)	
White	304 (70.0)	243 (74.5)	
Other/Unknown	69 (15.9)	42 (12.9)	
Length of Hospital Stay, days, mean (SD)	20.4 (15.2)	19.9 (14.7)	0.669
>30 Day Hospital Stay, n (%)	69 (15.9)	47 (14.4)	0.645
Primary Diagnosis of AKI on Admission, n (%)	152 (35.0)	92 (28.2)	0.056
AKI (any place on claim)			
Tubular Necrosis, n (%)	193 (44.5)	218 (66.9)	<0.001
Acute Cortical Necrosis, n (%)	NR	NR	NA
Medullary Necrosis, n (%)	NR	NR	NA
Other Acute Kidney Failure, n (%)	9 (2.1)	NR	NA
Acute Kidney Failure, unspecified, n (%)	253 (58.3)	120 (36.8)	<0.001
Intensive Care Unit Stay, n (%)	255 (58.8)	219 (67.2)	0.022
Continuous Renal Replacement Therapy, n (%)	257 (59.2)	179 (54.9)	0.265
Sepsis, n (%)	62 (14.3)	68 (20.9)	0.022
Surgery, n (%)	37 (8.5)	26 (8.0)	0.889
Prior Chronic Kidney Disease, n (%)			<0.001
Stage 3	115 (26.5)	57 (17.5)	
Stage 4 or 5	116 (26.7)	38 (11.6)	
None	203 (46.8)	231 (70.9)	
Prior Emergency Room Visit, n (%)	59 (13.6)	40 (12.3)	0.669
Congestive Heart Failure, n (%)	217 (50.0)	127 (39.0)	0.003
Cardiovascular Disease, n (%)	124 (28.6)	74 (22.7)	0.082
Peripheral Vascular Disease, n (%)	190 (43.8)	101 (31.0)	<0.001
Coronary Artery Disease, n (%)	214 (49.3)	128 (39.3)	0.007
Myocardial Infarction, n (%)	50 (11.5)	44 (13.5)	0.479
Diabetes, n (%)	269 (62.0)	182 (55.8)	0.102

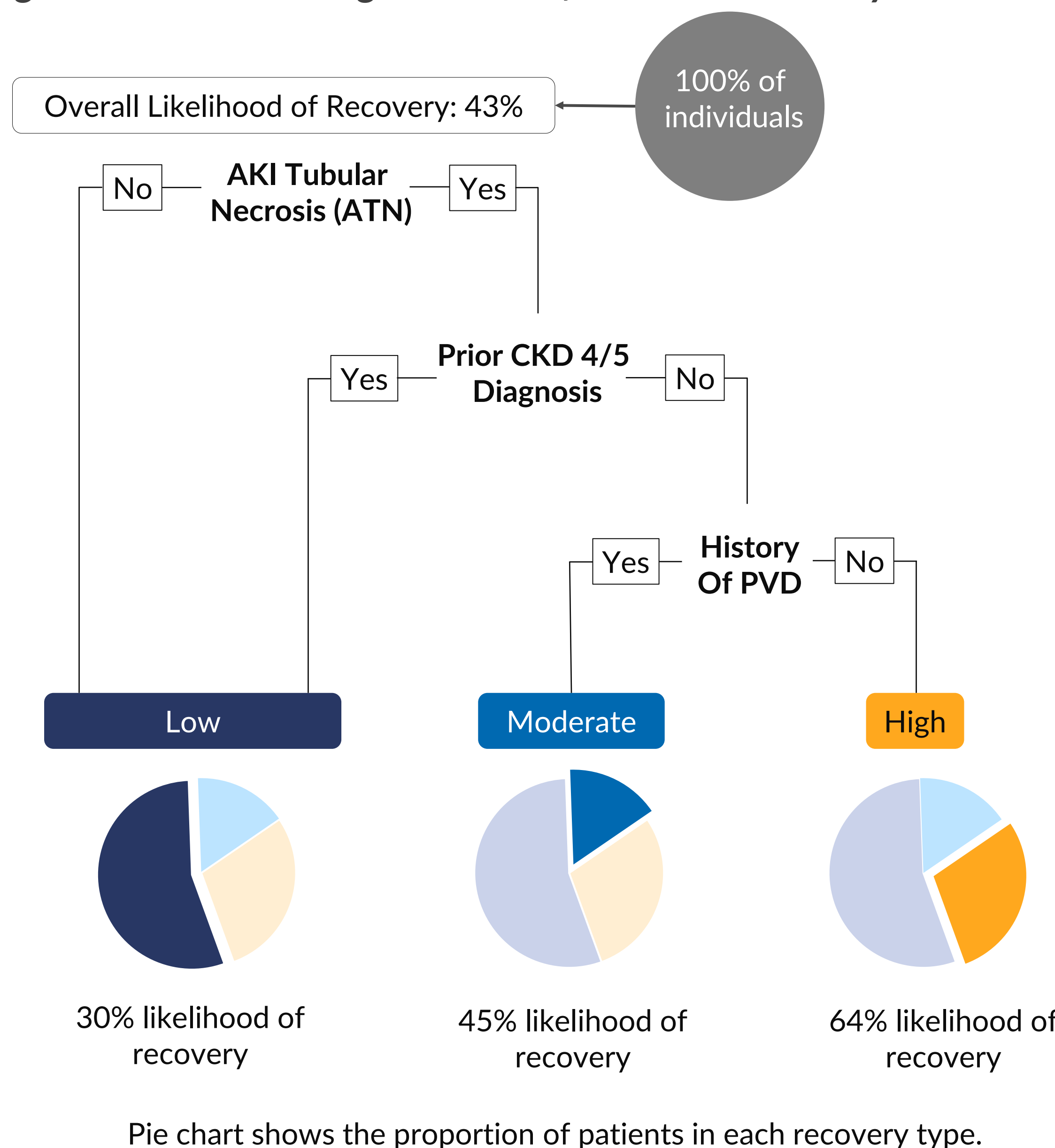
NR, not reportable

Figure 2. Hazard Ratios for Candidate Predictors (Claims-Based) of Recovery



ATN, acute tubular necrosis; CAD, coronary artery disease; CHF, congestive heart failure; CKD, chronic kidney disease; CRRT, continuous renal replacement therapy; CVD, cardiovascular disease; ER, emergency room; ICU, intensive care unit; PVD, peripheral vascular disease

Figure 3. Individual Segmentation for AKI-D Recovery



## Conclusions

- When considered together, three attributes of an individual's medical history and diagnoses can be used to reasonably predict which individuals will recover in the outpatient dialysis setting.
  - These attributes include: the presence or absence of ATN upon hospitalization, a CKD 4/5 diagnosis prior to hospitalization, and a history of PVD, or lack thereof.
  - Using these 3 criteria, around 30% of the population would have a high likelihood (~64%) of recovery vs. the rest of population (~30%).
- However, better data collection strategies (real-time vs. retrospective data collection), model testing, and validation are necessary to ensure validity.

## Limitations

- The data is retrospective and gathered from diagnostic codes of patient medical claims.
- The current proposed algorithm will require prospective testing/external validation in separate data sets to ensure external validity.
  - Additional sample size and algorithm development/testing could enable more performance, or a revision to a clinically appropriate/feasible approach for clinical prognosis.

## References and Acknowledgements

1. Optum's de-identified Integrated Claims-Clinical dataset (The Integrated data set)

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